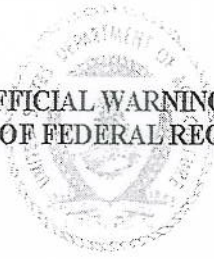


UNITED STATES DEPARTMENT OF AGRICULTURE
ANIMAL AND PLANT HEALTH INSPECTION SERVICE

OFFICIAL WARNING
VIOLATION OF FEDERAL REGULATIONS

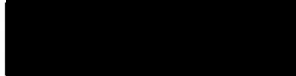


CASE NUMBER:

VIOLATOR:

Northern Arizona University
Biological Sciences Annex
86-R-0005 /1045

ADDRESS (Street, City, State, ZIP Code):



The U.S. Department of Agriculture has evidence that on or about **September 12, 2013**, you or your organization committed violations of the following sections of the Code of Federal Regulations, Title 9:

2.31(c)(7) Institutional Animal Care and Use Committee (IACUC).... failure of the IACUC to review and approve, required modifications in (to secure approval), or withhold approval of proposed significant changes regarding the care and use of animals in ongoing activates

The Animal and Plant Health Inspection Service (APHIS) created federal regulations to ensure the welfare of animals and help prevent the spread of animal and plant pests and diseases. Since violations of the regulations can have serious and costly impacts that are detrimental to the public interest, APHIS is providing you with an Official Warning for the violation(s) described above. Any further violation of these federal regulations may result in the assessment of a civil penalty, criminal prosecution, or other sanctions. If you have any questions concerning this Official Warning or violation(s), please contact the APHIS official listed in this notice.

APHIS OFFICIAL (Name and Title):

Robert M. Gibbens, Director

OFFICE ADDRESS:

2150 Centre Ave., Building B
Fort Collins, CO 80526

SIC

DATE ISSUED:

Jan. 23, 2014

TELEPHONE NO:

(970) 494-7478

CERTIFIED MAIL RECEIPT NO: 7012 3460 0000 8029 2572